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PATENT #6  
5181-75800/P5413 T.D.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

09/30/03

In re Application of:  
Thomas E. Tahan

Serial No. 09/981,608

Filed: October 16, 2001

For: COMMUNITY ACCESS CONTROL  
IN A MULTI-COMMUNITY NODE

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Group Art Unit: 2152

Examiner: Unknown

Atty. Dkt. No. 5181-75800

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date indicated below:

Rory D. Rankin  
Registered Representative

12/17/01  
Date

Signature

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant requests consideration of ☒ the references listed on the attached Form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A copy of each reference listed on the Form PTO-1449 is enclosed.

1. This Information Disclosure Statement is submitted:

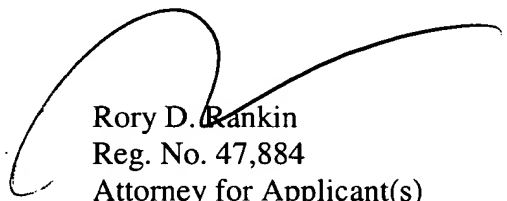
- a. ☒ within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d);  
☐ within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application;  
☐ before the mailing date of a first Office Action on the merits; or  
☐ before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- b. ☐ after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: ☐ the certification of paragraph 2 below is provided, or ☐ a fee of \$180.00 is enclosed.

- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.
2. It is hereby certified:
- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.
3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:
4. For each non-English language reference listed on the attached Form PTO-1449:
- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) \_\_\_\_\_, and/or
- ☐ reference is made to the concise explanation set forth below:
5. ☐ Applicant also offers the following comments for the Examiner's consideration:
6. ☐ Also enclosed is a copy of a foreign search report citing these references.
7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.

8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Conley, Rose & Tayon, P.C. Deposit Account No. 50-1505/5181-75800/RDR.

Respectfully submitted,



Rory D. Rankin  
Reg. No. 47,884  
Attorney for Applicant(s)

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P. O. Box 398  
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(512) 476-1400  
Date: 12/17/01

**Form PTO-1449** (modified)  
 List of Patents and Publications  
 For Applicant's Information  
 Disclosure Statement  
 (Use several sheets if necessary)

APP. DKT. NO. 5181-75800

APPLICANT: Tahan

FILING DATE: October 16, 2001

SERIAL NO. 09/981,608

GROUP: 2152

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

	A1	"Department of Defense Trusted Computer System Evaluation Criteria," December 1985, pp. 1-82.
	A2	Fithen et al., "Deploying Firewalls," May 1999, pp. 1-63
	A3	"Trusted Solaris™ 8 Operating Environment," November 2000, pp. 1-24.
	A4	"SunScreen™ Secure Net 3.0," July 1999, pp. 1-66.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Thomas E. Tahan  
Assignee: Sun Microsystems, Inc.  
Serial No.: 09/981,608  
Filing Date: October 16, 2001  
Title: COMMUNITY ACCESS CONTROL IN A  
MULTI-COMMUNITY NODE

Atty. Docket No.: 5181-75800/P4774

The date stamp of the mail room of the U.S. Patent and Trademark Office hereon will acknowledge receipt of the attached 1) Information Disclosure Statement w/accompanying Form PTO-1449 and references A1 - A4; and 2) Return Postcard.

RDR:psa

Via First Class Mail

Date: 12/17/01